

Receipt
IFW

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Appln No. : 10/537,478
Applicant(s): Bunshi FUUGETSU
Filed : June 3, 2005
For : NANOCARBON SOLUBILIZER,
METHOD FOR PURIFYING SAME,
AND METHOD FOR PRODUCING
HIGH-PURITY NANOCARBON
Art Unit : 1751
Examiner :
Docket No. : 05345/MJC
Confirm. No.: 3245
Customer No.: 01933

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date noted below.

Attorney: Marshall J. Chick

Dated: September 7, 2005

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

REQUEST FOR CORRECTED FILING RECEIPT

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

ATTENTION: CUSTOMER SERVICE

Errors appear in the filing receipt of the above-identified application. It is a Patent Office error and therefore no fee is required. The errors are as follows:

Under "Foreign Applications" insert

--Japan PCT/JP02/12815 December 6, 2002--

A copy of the Declaration and Power of Attorney is attached showing support for the above priority claim.

After Applicants names insert:

--Assignment for Published Patent Application:

HOKKAIDO TECHNOLOGY LICENSING OFFICE CO., LTD.
Hokkaido, JAPAN

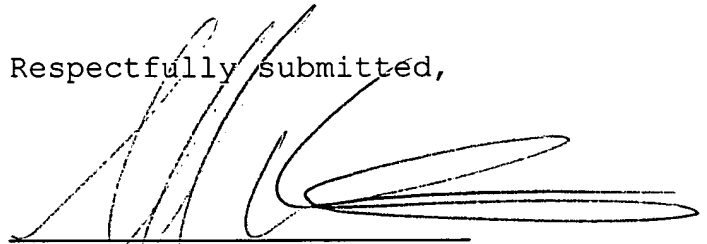
A copy of the REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION is enclosed showing support for the above correction.

A copy of the filing receipt indicating the corrections thereon is enclosed.

The issuance of a corrected filing receipt will ensure that the Patent Office computer records are correct, and therefore any patent which issues will be correct. It is therefore respectfully requested that a corrected filing receipt be issued as soon as possible.

Frishauf, Holtz, Goodman
& Chick, P.C.
220 Fifth Ave., 16th Floor
New York, NY 10001-7708
Tel. No. (212) 319-4900
Fax No.: (212) 319-5101
MJC/lid

Respectfully submitted,

A large, stylized handwritten signature in dark ink, consisting of several sweeping loops and a long horizontal tail stroke.

MARSHALL J. CHICK
Reg. No. 26,853

Enclosures



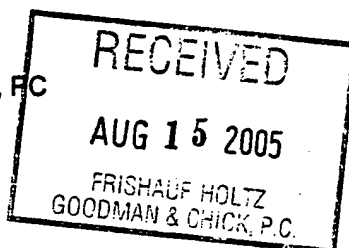
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 35 (c) DATE	ART UNIT	FILE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/537,478	06/03/2005	900		05345/MJC		15	1

1933

FRISHAUF, HOLTZ, GOODMAN & CHICK, PC
220 5TH AVE FL 16
NEW YORK, NY 10001-7708



CONFIRMATION NO. 3245

FILING RECEIPT



OC000000016735202

Date Mailed: 08/12/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bunshi Fuugetsu, Hokkaido, JAPAN;

*Assignment for Published Patent Application;
HOKKAIDO TECHNOLOGY Licensing Office Co., LTD.
Hokkaido, Japan*

Power of Attorney: The patent practitioners associated with Customer Number 1933.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/15445 12/02/2003

Foreign Applications

Japan PCT/JP02/12815 December 6, 2002

Projected Publication Date: 11/17/2005

Non-Publication Request: No

Early Publication Request: No

Title

Nanocarbon solubilizer, method for purifying same, and method for producing high-purity nanocarbon

Preliminary Class

510



PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the

national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

APPLICATION FOR UNITED STATES LETTERS PATENT

PCT Declaration and Power of Attorney (35 U.S.C. 371(c)(4))

PCT Application - United States Designated Office

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or a co-inventor, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"NANOCARBON SOLUBILIZER, METHOD FOR PURIFYING SAME, AND METHOD FOR PRODUCING HIGH-PURITY NANOCARBON"

described and claimed in International Application number PCT/JP03/15445 filed December 2, 2003 and, if it was amended, as amended on

I have reviewed and understand the contents of said specification, including claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I claim priority benefits under 35 USC §119 of: (i) any foreign application(s) for patent or inventor's certificate listed below; or (ii) any United States provisional application(s) listed below; and have also identified below any foreign application(s) for patent or inventor's certificate, or PCT international application having a filing date before that of the application(s) on which priority is claimed.

COUNTRY	APPLICATION NUMBER	DATE (day, month, year)	PRIORITY CLAIMED
Japan	PCT/JP02/12815	06/12/2002	yes <input checked="" type="checkbox"/> no <input type="checkbox"/>
			yes <input type="checkbox"/> no <input type="checkbox"/>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I appoint the attorneys associated with **CUSTOMER NUMBER 01933** to prosecute this application and to transact all business in the U.S. Patent & Trademark Office connected therewith including: Leonard Holtz, Reg. No. 22,974; Herbert Goodman, Reg. No. 17,081; Marshall J. Chick, Reg. No. 26,853; Richard S. Barth, Reg. No. 28,180; Douglas Holtz, Reg. No. 33,902; and Robert P. Michal, Reg. No. 35,614.

CORRESPONDENCE AND CALLS TO: FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.
767 Third Avenue - 25th Floor Tel.: (212) 319-4900
New York, New York 10017-2023 Fax.: (212) 319-5101

INVENTOR: SIGNATURE	DATE	RESIDENCE AND POST OFFICE ADDRESS
Sign: <i>Bunshi Fugetsu</i>	Date: <i>May 11st 05</i>	Residence (City & Country): Post Office Address: 1-38, Kita 27-jyo Higashi 16-chome, Higashi-ku, Sapporo-shi, Hokkaido 065-0027 JAPAN
Type: Bunshi FUUGETSU	Citizen of: Japan	
Sign:	Date:	Residence (City and Country): Post Office Address:
Type:	Citizen of:	

COPY

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Appln No. : To be assigned (U.S.
National Phase of
PCT/JP03/15445
Filed 12/2/03)

Applicant(s): Bunshi FUUGETSU

Filed : CONCOMITANTLY HEREWITH

For : NANOCARBON SOLUBILIZER,
METHOD FOR PURIFYING SAME,
AND METHOD FOR PRODUCING
HIGH-PURITY NANOCARBON

Art Unit :
Examiner :
Docket No. : 05345/MJC

Confirm. No.:

Customer No.: 01933

REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

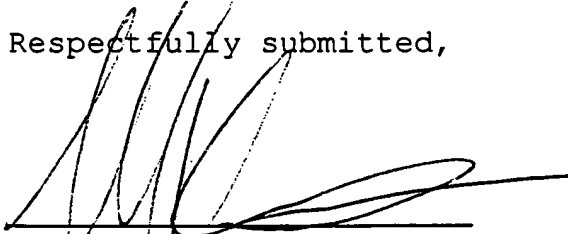
S I R :

It is requested that the following assignment information be
published as part of the 18 month publication of the present
application:

HOKKAIDO TECHNOLOGY LICENSING
OFFICE CO., LTD.
8-1, Kita 7-jyo, Nishi 2-chome,
Kita-ku, Sapporo-shi,
Hokkaido 060-0807 JAPAN


Frishauf, Holtz, Goodman
& Chick, P.C.
220 Fifth Ave., 16th Floor
New York, NY 10001-7708
Tel. No. (212) 319-4900
Fax No.: (212) 319-5101
MJC/ld

Respectfully submitted,


MARSHALL J. CHICK
Reg. No. 26,853

Express Mail Mailing Label
No.: EV 701 316 632 US
Date of Deposit: June 3, 2005

I hereby certify that this paper is
being deposited with the United
States Postal Service "Express Mail
Post Office to Addressee" service
under 37 CFR 1.10 on the date
indicated above and is addressed
to Mail Stop PCT Commissioner for
Patents, P.O. Box 1450, Alexandria, VA
22313-1450


Laraine Doolen

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In the event that this Paper is late
filed and the necessary petition for
extension of time is not filed
concurrently herewith, please consider
this as a Petition for the requisite
extension of time, and to the extent
not tendered by check attached hereto,
authorization to charge the extension
fee, or any other fee required in
connection with this Paper to
Account No. 06-1378.

COPY

**ASSIGNMENT - PCT**

In consideration of value received, I, having a residence and post office address as stated below next to my name, the sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of an invention described in an application for United States patent entitled:

"NANOCARBON SOLUBILIZER, METHOD FOR PURIFYING SAME, AND METHOD FOR PRODUCING HIGH-PURITY NANOCARBON"

sell and assign to **HOKKAIDO TECHNOLOGY LICENSING OFFICE CO., LTD.**

a corporation of **Japan**

having a business address at **8-1, Kita 7-jyo Nishi 2-chome, Kita-ku, Sapporo-shi, Hokkaido 060-0807 JAPAN**

its successors, assigns or nominees, hereinafter referred to as "Assignee", my entire right, title and interest in and to said invention as disclosed, shown and described in said application for United States patent executed concurrently herewith;

corresponding to international application number **PCT/JP03/15445** filed **December 2, 2003**;

and in and to all applications for patent and patents for said invention, in all countries of the world, including all divisions, reissues, continuations, substitutions and extensions thereof and all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property, including rights of priority, resulting from the filing of any of said applications; and I authorize and request any official whose duty it is to issue patents, to issue any patent on said invention or resulting therefrom to said Assignee, and I agree that on request and without further consideration, but at the expense of said Assignee, I will communicate to said Assignee or its representatives all facts known to me respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, or other applications, make all rightful oaths and declarations, and generally do everything possible to aid said Assignee to obtain and enforce proper patent protection for said invention in all countries.

I hereby grant to any attorney member of the following law firm the power to insert on this Assignment the Serial number and filing date of said application when known.

SERIAL NO.:

FILING DATE:

Frishauf, Holtz, Goodman & Chick, P.C., 767 Third Avenue - 25th Floor, New York, N.Y. 10017-2023.

COPY

INVENTOR: SIGNATURE		DATE	POST OFFICE ADDRESS
Sign: <i>Bunshi Fugetsu</i>	Date: <i>May 11st 05</i>	Address: 1-38, Kita 27-jyo Higashi 16-chome, Higashi-ku, Sapporo-shi, Hokkaido 065-0027 JAPAN	
Type: Bunshi FUUGETSU	Witness: <i>A. Chih</i>		
Sign:	Date:		
Type:	Witness:		
NOTES: MUST BE DATED.		WITNESS DESIRABLE.	

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RECORDING OFFICER:

After recording, please return to: Customer No. **01933**

FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.

767 Third Avenue - 25th Floor, New York, N.Y. 10017-2023

LEGALIZATION NOT REQUIRED.